



Anthony Puma

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Form ADV, Part 2B Brochure Supplement

January 17, 2017

This brochure supplement provides information about Anthony Puma that supplements the Spinnaker Investment Group, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-396-6700 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Anthony Puma is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Anthony Puma, Investment Adviser Representative, b. 1975

Education:

Cal Poly San Luis Obispo, Bachelor of Arts, Business with Concentration in Marketing, Minor in Art, 1997

Business Background:

Spinnaker Investment Group, LLC, Investment Adviser Representative, 11/2016 to present

Police and Fire: The Fallen Heroes, Director of Operations, 2/2016 to present

MassMutual Life Insurance Company, Agent, 10/2014 to 11/2016

MML Investors Services, LLC, Registered Representative, 11/2014 to 6/2016

Signature Resources Capital Management, LLC, Investment Adviser Representative, 10/2010 to 11/2016

Signator Investors Inc., Registered Representative, 4/2008 to 11/2014

Signature Resources, Insurance & Financial Services, Inc., Representative, 2/2008 to 11/2014

ITEM 3 - DISCIPLINARY INFORMATION

Anthony Puma has no material disciplinary history to disclose. Please see www.adviserinfo.sec.gov for additional information.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Anthony Puma is a licensed insurance agent, offering life and disability insurance and annuities. Anthony Puma will receive commissions on the sale of insurance products. The insurance commissions and compensation Anthony Puma receives are separate from and in addition to any fees that Spinnaker receives for advisory/financial planning services. Therefore, a conflict of interest will exist between his interests and those of Spinnaker clients if he recommends insurance services for which he is individually compensated when providing advisory/financial planning advice to a Spinnaker client. However, clients are under no obligation to act upon any of his recommendations or effect any transactions through him if they decide to follow his recommendations. Anthony Puma will disclose any conflict of interest with a Spinnaker client before effecting a sale of insurance transactions.

Anthony Puma is Director of Operations of Police and Fire: the Fallen Heroes, a non-profit organization. He is also owner/artist of Puma Creative, conducting art and photography sales.

ITEM 5 - ADDITIONAL COMPENSATION

Anthony Puma receives compensation from the activities described in Item 4, above.

ITEM 6 - SUPERVISION

Morgan Christen, Chief Compliance Officer and Managing Member, is generally responsible for supervising Anthony Puma's advisory activities on behalf of Spinnaker Investment Group. Morgan Christen can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement.

Spinnaker Investment Group supervises its personnel and the investments made in client accounts. Spinnaker Investment Group monitors the investments recommended by Anthony Puma to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Spinnaker Investment Group periodically reviews the advisory activities of Anthony Puma, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Anthony Puma.